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March 27, 2007

TO:

Examiner: Unknown
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

FROM: Douglas P. Mueller

OUR REF: 10873.1406US01

TELEPHONE: (612) 455.3800

Total pages, including cover letter: 34

PTO FAX NUMBER: 571.273.8300

If all pages are NOT received, please call us at 612.455.3800 or fax us at 612.455.3801.

Title of Document: Supplemental Information Disclosure Statement, Form 1449,
2 references, Japanese Office ActionApplicant: KOMMA
Serial No.: 10/789,309
App. Filed: February 26, 2004
Group Art No.: 2655
Conf. No.: 9210Please charge any additional fees or credit overpayment to Deposit Account No.
50-3478. Please consider this a PETITION FOR EXTENSION OF TIME for a
sufficient number of months to enter these papers, if appropriate.

By:

Name: Douglas P. Mueller
Reg. No. 30,300I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark
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Antonette C. Peters

Signature

Date

Mar 27 2007

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	KOMMA	Examiner:	Unknown
Serial No.:	10/789,309	Group Art Unit:	2655
Filed:	February 26, 2004	Docket:	10873.1406US01
Title:	OPTICAL HEAD DEVICE AND OPTICAL INFORMATION DEVICE USING THE SAME, COMPUTER, OPTICAL DISK PLAYER, CAR NAVIGATION SYSTEM, OPTICAL DISK RECORDER, AND OPTICAL DISK SERVER		

CERTIFICATE UNDER 37 CFR 1.6(d): I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on March 27, 2007.

By: Antonette Peters
Name: Antonette Peters

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Copies of any foreign patent documents or "Other Documents" are enclosed. A Copy of the Japanese Office Action, mailed February 27, 2007, from the co-pending Japanese application, is transmitted herewith for the examiner's reference.

Please note that the Japanese publication JP2001-209966 and JP2000-260056 were previously cited and that the corresponding English publication was previously cited for JP2000-163787, therefore these references are not cited herein.

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the Filing Date, before the mailing date of a First Office Action on the merits, or before the mailing date of a First Office Action on the merits after the filing of a request for continued examination under 37 C.F.R. §1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and
- ☐ the requisite Statement is below, OR
- ☐ the requisite fee of \$180.00 under Rule 1.17(p) is included herein, or

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- ☐ (3) after the mailing date of a Final Rejection or Notice of Allowance but on or before the payment of the Issue Fee, AND the requisite Statement is below AND the requisite fee of \$180.00 under Rule 1.17(p) is included herein.

STATEMENT

Applicants hereby state that:

- ☐ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application or by the USPTO in a related application not more than three months prior to the filing date of the Information Disclosure Statement

- ☐ If this box is checked, Applicant provides the following:

Certification Under 37 C.F.R. §1.704(d)

In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

- ☐ The Examiner is hereby advised of the following co-pending U.S. applications. A copy of each U.S. patent application publication (if published) or application (if not published) is enclosed.

Application No.

Filing Date

Group

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

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CENTRAL FAX CENTER****MAR 27 2007****FEE AUTHORIZATION**

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 50-3478. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

53148

PATENT TRADEMARK OFFICE

HAMRE, SCHUMANN, MUELLER &
LARSON, P.C.

Post Office Box 2902
Minneapolis, MN 55402-0902
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Dated: March 27, 2007

By: 

Douglas P. Mueller
Reg. No. 30,300

DPM:acp

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Date Mailed: March 27, 2007

Sheet 1 of 1

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 10873.1406US01	Application Number: 10/789,309
	Applicant: KOMMA	
	Filing Date: February 26, 2004	Group Art Unit 2655

[illegible]

53148

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.</p>	

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE